

Primary Business Name: CODA MARKETS, INC.**BD Number: 36187****BD - AMENDMENT****02/01/2022****BD - INDIRECT OWNERS**

Ownership Codes: **C - 25% but less than 50%** **E - 75% or more**
 D - 50% but less than 75% **F - Other General Partners**

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or SSN, IRS Tax #, Emp. ID)
PEAK 6 LLC	DE	PEAK6 INVESTMENTS	MEMBER	09/2018	E	Y	N	36-4166492
PEAK6 INVESTMENTS LLC	DE	APEX FINTECH SOLUTIONS LLC	MEMBER	12/2019	E	Y	N	38-4087834